



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: the Application of: **Katsumi MIYATA, et al.**

Group Art Unit: **2827**

Serial No.: **09/478,508**

Examiner: **GRAYBILL, David E.**

Filed: **January 6, 2000**

Confirmation No.: **1796**

For: **SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME**

Attorney Docket No.: **991527**

Customer No.: **38834**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.97(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

October 15, 2003

Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after the issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to the issuance of either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement and, to the

knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO/SB/08 is made of record therein and appear on the first page of any patent to issue therefrom.

The Commission is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

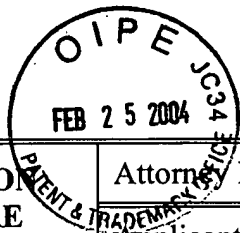
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

A handwritten signature in black ink, appearing to read "Sadao Kinashi", written in a cursive style.

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Enclosures: Form PTO-1449 & 2 References
Japanese Office Action



INFORMATION DISCLOSURE CITATION PTO-1449	Attorney Docket No. 991527	Serial No. 09/478,508
	Applicant(s): Katsumi MIYATA, et al.	
	Filing Date: January 6, 2000	Group Art Unit: 2827

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AF	9-148331	06/06/1997	Japan	Yes (Abstract)
	AG	2000-294605	10/20/2000	Japan	Yes (Abstract)
	AH				
	AI				
	AJ				

OTHER DOCUMENTS

	AK	Japanese Office Action dated February 3, 2004
	AL	
Examiner	Date Considered	